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PTO/SB/21 (08-00)

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# TRANSMITTAL FORM

to be used for all correspondence after initial filing)

Application Number 09/993,670

Filing Date November 27, 2001

First Named Inventor Song Han

Group Art Unit 2183

Examiner Name TBD

Total Number of Pages in This Submission

129

Attorney Docket Number

19111.0053

## ENCLOSURES (check all that apply)

☐ Fee Transmittal Form

☐ Fee Attached

☐ Amendment / Response

☐ After Final

☐ Affidavits/declaration(s)

☐ Extension of Time Request

☐ Express Abandonment Request

☒ Information Disclosure Statement

☐ Certified Copy of Priority Document(s)

☐ Response to Missing Parts/ Incomplete Application

☐ Response to Missing Parts under 37 CFR 1.52 or 1.53

☐ Statement Claiming Small Entity Status

☐ Drawing(s)

☐ Licensing-related Papers

☐ Petition Routing Slip (PTO/SB/69) and Accompanying Petition

☐ Petition to Convert to a Provisional Application

☐ Power of Attorney, Revocation Change of Correspondence Address

☐ Terminal Disclaimer

☐ Request for Refund

☐ CD, Number of CD(s)

☐ After Allowance Communication to Group

☐ Appeal Communication to Board of Appeals and Interferences

☐ Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)

☐ Proprietary Information

☐ Status Letter

☒ Other Enclosure(s) (please identify below):

PTO form 1449; European Search Report & 5 references

Remarks

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APR 03 2003

Technology Center 2100

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name

Michael A. Schwartz, Reg. No. 40,161

Signature

*Michael A. Schwartz*

Date

April 2, 2003

## CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date:

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Date

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Song Han, et al.

Application No. 09/993,670

Art Unit: 2183

Filed: November 27, 2001

Examiner: TBD

For: METHOD AND SYSTEM FOR PROVIDING LOCATION-BASED EVENT SERVICE

**INFORMATION DISCLOSURE STATEMENT**

Box IDS  
Commissioner for Patents  
Washington, D.C. 20231

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Sir:

For insuring compliance with the applicant's duty of disclosure under 37 CFR § 1.56, the undersigned hereby submits the documents listed on the attached Form PTO-1449 for consideration by the Examiner in charge of the above-identified patent application.

☐ U.S. patent applications which the applicant considers to be related to the above-identified application are as follows:

☐ A concise explanation of the relevance of the non-English language references is attached:

☒ The relevance of the references is indicated on the enclosed copy of the European Search Report for the priority application.

These documents are being submitted (check only one of the next four boxes):

☐ within three months of the filing of the above U.S. national application or of the date of entry of the U.S. national stage in an International Patent Application (no fee is due);

☒ before receiving a first Office Action on the merits of the above-identified patent application; or before receiving a first Office Action after filing of a Request for Continued Examination (no fee is due);

☐ following receipt of a first Office Action, but before issuance of a Final Office Action or a Notice of Allowance (if this box is checked, one of the last three boxes also must be checked);

OR

☐ following receipt of a Notice of Allowance or a Final Office Action (if this box is checked, the next box and one of the last two boxes also must be checked).

☐ The Commissioner is hereby authorized to charge Deposit Account 19-5127, in the amount of \$180.00 for payment of the fee set forth in 37 CFR § 1.17(p).

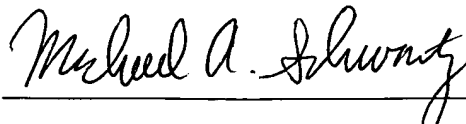
☐ The undersigned certifies that each item of this information is being submitted within three months of the date it was cited by a foreign patent office in a counterpart application.

☐ The undersigned certifies that, after making reasonable inquiry, he/she has no knowledge that any item of this information was cited by a foreign patent office in a counterpart application or was known more than three months prior to this submission.

The Commissioner is hereby authorized to charge payment of any deficiency in the above fee(s) or to charge any additional fees required under 37 CFR § 1.16 or 1.17 or credit any overpayment to Deposit Account No. 19-5127.

Respectfully submitted,

Date: April 2, 2003



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## FORM PTO-1449

Attorney Docket:

Application No.:

19111.0053

09/993,670

**INFORMATION DISCLOSURE CITATION**

Applicant:

Examiner:

Song Han

TBD

Filing Date:

Group Art Unit:

November 27,  
2001

2183

**U.S. PATENT DOCUMENTS**

Examiner Initial	Ref. No	Document Number	Date	Name	Class	Sub-Class	Filing Date
		6,263,209 B1	July 17, 2001	Hill, et al.			
		6,091,959 A	July 18, 2000	Dorenbosch et al.			

**FOREIGN PATENT DOCUMENTS**

	Document Number	Date	Country	Class	Sub-Class	Translation Yes/No
	00 22860 A	April 20, 2000	WO			
	00 62574 A	October 19, 2000	WO			
	1,102,501 A	May 23, 2001	EP			

**OTHER DOCUMENTS (Including Author, Title, Date, etc.)**


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Examiner	Date Considered
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Examiner: Initial if reference consider, whether or not citation is in conformance with MPEP §609.

Draw line through citation if not in conformance and not considered.

Include copy of this form with next communication to Application